



IP15 Rec'd PCT/PTO 16 JUN 2006

Docket No.: 06727/0204203-US0  
(PATENT)

CUSTOMER NO. 07278

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Assaf Rozenblatt

Application No.: 10/595,252

Group Art Unit: N/A

Filed: March 30, 2006

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM FOR ANSWER  
EXTRACTION

**REQUEST FOR REFUND - SMALL ENTITY STATUS**

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Applicant in the above-identified patent application hereby requests a partial refund of fees paid with respect to this application. Applicant hereby asserts that he is entitled to Small Entity Status and has filed a claim to such status for the application.

The application filing fee was paid in full in the amount of \$300.00 through the undersigned attorney's Deposit Account 04-0100 at the time of filing the above-identified application on March 30, 2006. Because Small Entity Status applies and the request for refund is filed within 3 months (37 C.F.R. §1.28), Applicant is entitled to a refund of \$150.00.

A copy of the March 30, 2006 Acknowledgment of Receipt for the \$300 filing fee (paid via e-File by RAM) with confirmation number 35. Also attached is a copy of the Transmittal Letter to the US DO/EO/US (PTO-1390) filed electronically on March 30, 2006. This includes the



Application No.: 10/595,252

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fee paid (page 2) and the undersigned attorney's authorization to charge the filing fee to Deposit Account 04-0100 (page 3).

Please credit Deposit Account No. 04-0100 in the amount of \$150.00 to cover the above-requested refund.

Dated: June 16, 2006

Respectfully submitted,

By 

S. Peter Ludwig

Registration No.: 25,351

DARBY & DARBY P.C.

P.O. Box 5257

New York, New York 10150-5257

(212) 527-7700

Attorneys/Agents For Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 06727/0204203-US0 U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/US06/09131	INTERNATIONAL FILING DATE 13 March 2006	PRIORITY DATE CLAIMED
TITLE OF INVENTION METHOD AND SYSTEM FOR ANSWER EXTRACTION		
APPLICANT(S) FOR DO/EO/US Assaf Rozenblatt		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> </ol>		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. <b>PCT/US06/09131</b>	ATTORNEY'S DOCKET NUMBER <b>06727/0204203-US0</b>
20. <input type="checkbox"/> Other items or information:		
The following fees have been submitted		<b>CALCULATIONS</b>
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... <b>\$300</b>		<b>\$ 300.00</b>
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... <b>\$0</b> All other situations ..... <b>\$200</b>		<b>\$</b>
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$0</b> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... <b>\$100</b> International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... <b>\$400</b> All other situations ..... <b>\$500</b>		<b>\$</b>
<b>TOTAL OF 21, 22 and 23 =</b>		<b>\$ 300.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.		<b>\$</b>
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)
64 - 100 =	/50 =	x <b>\$250.00</b>
Surcharge of <b>\$130</b> for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		<b>\$</b>
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	239 - 20 =	219
Independent claims	18 - 3 =	15
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 300.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		<b>\$</b>
<b>SUBTOTAL =</b>		<b>\$ 300.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		<b>\$</b>
<b>TOTAL NATIONAL FEE =</b>		<b>\$ 300.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property		<b>\$</b>
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 300.00</b>
Amount to be refunded:		<b>\$</b>
Amount to be charged		<b>\$</b>


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 04-0100 in the amount of \$ 300.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

S. Peter Ludwig  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
(212) 527-7770

  
SIGNATURE

S. Peter Ludwig  
NAME

CUSTOMER NUMBER: 07278

25,351  
REGISTRATION NUMBER

**Acknowledgement Receipt**

The USPTO has received your submission at **15:32:09** Eastern Time on **30-MAR-2006** by Deposit Account: 040100.

\$ **300** fee paid by e-Filer via *RAM* with Confirmation Number: 35.

You have also pre-authorized the following payments from your USPTO Deposit Account:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

**eFiled Application Information**

EFS ID	1014770
Application Number	10595252
Confirmation Number	5744
Title	METHOD AND SYSTEM FOR ANSWER EXTRACTION
First Named Inventor	Assaf Rozenblatt
Customer Number or Correspondence Address	07278
Filed By	Nicole Edwards
Attorney Docket Number	06727/0204203-US0
Filing Date	
Receipt Date	30-MAR-2006
Application Type	U.S. National Stage under 35 USC 371
International Application Number	PCT/US06/09131

**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
00701934.pdf	72		649974 bytes	△ WARNINGS
<p>The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing</p>				
		<b>Document Description</b>	<b>Page Start</b>	<b>Page End</b>
		Transmittal letter	1	3
		Application Data Sheet	4	5
		Information Disclosure Statement (IDS) Filed	6	10
		Preliminary Amendment	11	72
fee-info.pdf	2	Fee Worksheet (PTO-875)	8111 bytes	◇ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

*If you need help:*

- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).*
- *If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.*



Application No. (if known): 10/595,252


Attorney Docket No.: 06727/0204203-US0

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. **EV 770096818 US** in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on June 16, 2006  
Date

  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Judy Yeddo  
\_\_\_\_\_  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Return Receipt Postcard  
Transmittal SB21 (1 pg)  
Claim for Small Entity Status (1 pg)  
Request for Refund (2 pgs)  
Copy of 3/30/06 Transmittal Letter to DO/EO/US (3 pgs)  
Copy of 3/30/06 Electronic Acknowledgment of Receipt (2 pgs)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/595,252-Conf. #5477	
	Filing Date	March 30, 2006	
	First Named Inventor	Assaf Rozenblatt	
	Art Unit	N/A	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	06727/0204203-US0

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Request for Refund (2 pp)	<b>Small Entity Status Claim (1 p)</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	<b>Copy of 3/30/06 Transmittal Letter to DO/EO/US (3 pp)</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	<b>Copy of 3/30/06 Electronic Acknowledgment of Receipt (2 pp)</b>
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	DARBY & DARBY P.C.		
Signature	Dianna Goldenson for: <i>Dianna Goldenson</i> Reg No. 52,449		
Printed name	S. Peter Ludwig		
Date	June 16, 2006	Reg. No.	25,351



Docket No.: 06727/0204203-US0  
(PATENT)

PATENT NO. 07278

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Assaf Rozenblatt

Application No.: 10/595,252

Group Art Unit: N/A

Filed: March 30, 2006

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM FOR ANSWER  
EXTRACTION


**SMALL ENTITY STATUS CLAIM**

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant, by it's attorney, hereby claims small entity status pursuant to 37 C.F.R. §1.27

(a)(2)(i)

Dated: June 16, 2006 

Respectfully submitted,

By 

S. Peter Ludwig

Registration No.: 25,351

DARBY & DARBY P.C.

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